

Tikhonov Regularization for Inverse Medium Scattering in Banach Spaces

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joint work with Thorsten Hohage

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Outline

- 1 Problem Description
- 2 Regularization Approach
- 3 Results



Schrödinger equation

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Given a **potential** q and an **energy** E find the solution to

$$(-\Delta + q)u = Eu \quad \text{in } \mathbb{R}^3,$$

$$\lim_{|x| \rightarrow \infty} |x| \left(\frac{\partial}{\partial |x|} - i\sqrt{E} \right) u(x) = 0.$$

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Assumption on the potential q

- $q \in L^\infty$
- $\text{supp } q \subset B(r)$
- q is absorbing, i.e. $\Im(q) \geq 0$



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- } ensures unique solvability
with $u \in H_{loc}^1$

Near field inverse problem

$$\text{Total field } u = \underbrace{\text{incident field } u_y^i}_{\text{known}} + \underbrace{\text{scattered field } u_y^s}_{\text{unknown}}$$

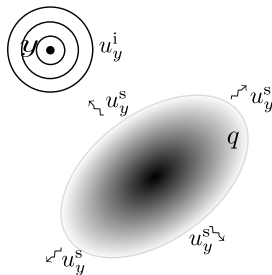


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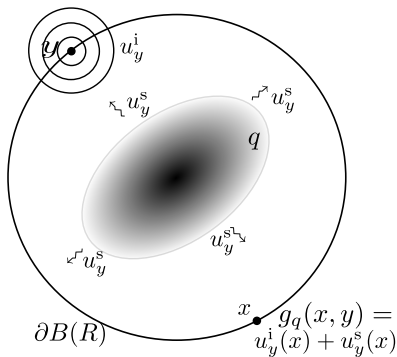
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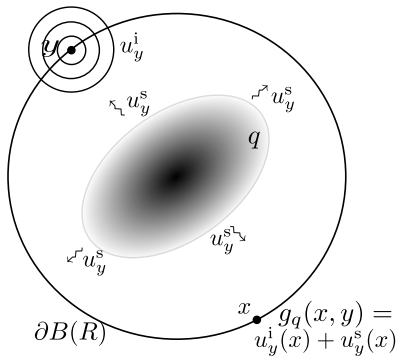
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on $\partial B(R)$ with $R = |y| > r$.

\rightsquigarrow Repeat for all $y \in \partial B(R)$.



Solution strategy

Define operator $F: \text{dom}(F) \rightarrow L^2(\partial B(R) \times \partial B(R)) =: \mathcal{Y}, q \mapsto g$

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Problem is ill-posed \rightsquigarrow apply **Tikhonov regularization** of the form

$$q_\alpha^\delta \in \arg \min_{q \in \text{dom}(F)} T_{\alpha, g^\delta}(q), \quad T_{\alpha, g^\delta}(q) := \left[\frac{1}{2\alpha} \|F(q) - g^\delta\|_{\mathcal{Y}}^2 + \mathcal{R}(q) \right]$$

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Questions:

- Is this a regularization strategy?
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- How good does q_α^δ approximate q^\dagger ?
 \rightsquigarrow Use a **variational source condition**

$$\forall q: \quad \langle q^*, q^\dagger - q \rangle \leq \frac{1}{2} \Delta_{\mathcal{R}}(q, q^\dagger) + \psi\left(\|F(q) - F(q^\dagger)\|_{\mathcal{Y}}\right)$$


with $q^* \in \partial \mathcal{R}(q^\dagger)$ to obtain a rate of the form

$$\Delta_{\mathcal{R}}(q_\alpha^\delta, q^\dagger) \leq 4\psi(\delta^2)$$

for optimal choice of α .

Known results

- Exponential instability $\rightsquigarrow \psi$ must be of logarithmic form

 **N. Mandache.** *Exponential instability in an inverse problem for the Schrödinger equation.* **Inverse Problems**, 17:1435–1444, 2001.

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$$\|q_1 - q_2\|_{L^2} \leq AE^{1/2}\delta^{1/2} + B(E + \ln^2(\delta^{-1}))^{-s/3}$$

- 📖 G. Alessandrini. *Stable determination of conductivity by boundary measurements*. **Applicable Analysis**, 27:153–172, 1988.
- 📖 M. I. Isaev and R. G. Novikov. *Effectivized Hölder-logarithmic stability estimates for the Gel'fand inverse problem*. **Inverse Problems**, 30:095006, 2014.

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- Regularization strategy for $\mathcal{R}(q) = \|q | L^p\|^p$ + constraint if $p > 3/2$



A. Lechleiter, K. S. Kazimierski and M. Karamehmedović *Tikhonov regularization in L^p applied to inverse medium scattering*. **Inverse Problems**, 29:075003, 2013.

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- Regularization strategy for $\mathcal{R}(q) = \|q\|_{L^p}^p + \text{constraint}$ if $p > 3/2$
- VSC for $\mathcal{R}(q) = \|q\|_{H^m}^2 + \text{constraint}$ if $m > 3/2$ of the form

$$\psi(t) = A(\ln^2(\delta^{-1}))^{-\max\{1, \frac{s-m}{m+3/2}\}}$$

frist verification of a vsc for nonlinear pde but enforces smoothness

 T. Hohage and F. Weidling. *Verification of a variational source condition for acoustic inverse medium scattering problems*. **Inverse Problems**, 31:075006, 2015.

A wishlist

- Penalty term \mathcal{R}
 - of the form $\mathcal{R}(q) = \frac{1}{r} \|q\|_{\mathcal{X}}^r + \text{constraints}$ for some Banach space \mathcal{X}
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- variational source condition with ψ
 - Hölder-logarithmic w.r.t. energy E
 - Unbounded exponent

How to verify a VSC?

Then f^\dagger fulfills a VSC with index function



T. Hohage and F. Weidling. *Characterizations of Variational Source Conditions, Converse Results, and Maxisets of Spectral Regularization Methods*. **SIAM JNA**, 55:598–620, 2017.

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- ill-posedness of the problem

$$\langle f^*, P_k^*(f^\dagger - f) \rangle \leq \sigma(k) \|F(f^\dagger) - F(f) \mid \mathcal{Y}\| + \gamma \kappa(k) \|f^\dagger - f \mid \mathcal{X}\|$$

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Example: Compact Operators

Let \mathcal{X}, \mathcal{Y} be Hilbert spaces, F compact with singular system $(f_j, g_j, \sigma_j)_{j \in \mathbb{N}}$

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decay of singular values $\hat{=}$ degree of ill-posedness

Besov spaces as candidates for \mathcal{X}

$$\text{Besov space } B_{p,q}^s \quad \begin{cases} p \in [1, \infty] & \text{integrability} \\ q \in [1, \infty] & \text{fine index} \\ s \in \mathbb{R} & \text{smoothness} \end{cases}$$

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Norm via Wavelets

$$f(x) = \sum_{(j,m,l) \in I} \underbrace{\langle f, \phi_{j,m}^l \rangle}_{\lambda_{j,m}^l} \phi_{j,m}^l(x)$$

with $(\phi_{j,m}^l)_{(j,m,l) \in I}$ a smooth L^2 -normalized Daubechies wavelet system.

$$\|f\|_{B_{p,q}^s} := \left[\sum_{j \in \mathbb{N}_0} \sum_{l=1}^{L_j} 2^{jsq} 2^{jd(\frac{1}{2} - \frac{1}{p})q} \left(\sum_{m \in \mathbb{Z}^d} |\lambda_{j,m}^l|^p \right)^{\frac{q}{p}} \right]^{\frac{1}{q}}$$

Properties

- **Inclusions:** for $s \in \mathbb{R}$, $\varepsilon > 0$ and $1 \leq r \leq q \leq \infty$

$$B_{p,q}^{s+\varepsilon} \subset B_{p,\infty}^{s+\varepsilon} \subset B_{p,1}^s \subset B_{p,r}^s \subset B_{p,q}^s$$

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$$\text{Sobolev spaces : } W^{s,p} = B_{p,p}^s \quad s \notin \mathbb{Z}$$

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- **Convexity:** with Wavelet-norm

$$B_{p,q}^s \text{ is } \max\{2, p, q\}\text{-convex}$$



Subgradient Smoothness

Choose $\mathcal{X} = B_{p,p}^0$ for $1 < p \leq 2$

$$\frac{1}{r} \|f|_{\mathcal{X}}\|^r = \frac{1}{2} \left[\sum_{j \in \mathbb{N}_0} \sum_{l=1}^{L_j} \sum_{m \in \mathbb{Z}^d} \underbrace{2^{jd(\frac{p}{2}-1)} |\lambda_{j,m}^l|^p}_{\rightarrow 0, \text{ if } p < 2} \right]^{\frac{2}{p}}$$

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Theorem

Let $f^* \in \partial^{\frac{1}{2}} \|f^\dagger \mid B_{p,p}^0\|^2$ then

$$f^\dagger \in B_{p,\infty}^s \text{ for } s > 0 \iff f^* \in B_{p',\infty}^{s(p-1)}.$$

Proof.

$$f^* = \sum_{(j,m,l) \in I} \mu_{j,m}^l \phi_{j,m}^l(x) \text{ with } \mu_{j,m}^l = \|f^\dagger \mid B_{p,p}^0\|^{2-p} 2^{jd(\frac{p}{2}-1)} \frac{\lambda_{j,m}^l}{|\lambda_{j,m}^l|^{2-p}}.$$

Tikhonov functional

$$T_{\alpha, g^\delta}(q) = \frac{1}{2\alpha} \|F(q) - g^\delta|_{\mathcal{Y}}\|^2 + \frac{1}{2} \|q|_{B_{p,p}^0}\|^2 \\ + \iota_{\{\Im(\cdot) \geq 0, \text{supp}(\cdot) \subset B(r)\}}(q) + \iota_{\{\|\cdot\|_{L^\infty} \leq c_\infty\}}(q)$$

Needed for **ill-posedness** inequality (\rightsquigarrow CGO-solutions)

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Theorem

This strategy is regularizing.

Directly apply results of:

-  A. Lechleiter, K. S. Kazimierski and M. Karamehmedović *Tikhonov regularization in L^p applied to inverse medium scattering*. **Inverse Problems**, 29:075003, 2013.

Main result

Theorem (Variational Source Condition)

$R > r > 0$, $E \geq 1$, $C_\infty > 0$, $2 \geq p > 1$, $s > 0$ and $C_s > 0$.

Let the true potential q^\dagger satisfy:

$$\text{supp}(q^\dagger) \subset B(r), \quad \Im(q^\dagger) \geq 0, \quad \|q^\dagger\|_{L^\infty} \leq C_\infty, \quad \|q^\dagger\|_{B_{p,\infty}^s} \leq C_s$$

Then $\exists c > 0$ such that for all $q \in \text{dom}(T_{\alpha,\cdot})$ the VSC

$$\begin{aligned} \langle q^*, q^\dagger - q \rangle &\leq \frac{1}{2} \Delta_{\frac{1}{2}\|\cdot\|_{B_{p,p}^0}}(q, q^\dagger) \\ &\quad + cC_s(1 + C_s) \left(E^3 \delta^{\frac{1}{2}} + (1 + C_\infty^2) \left(E + \ln^2(3 + \delta^{-2}) \right)^{-\mu} \right) \end{aligned}$$

holds true, where

$$\delta := \|F(q) - F(q^\dagger)\|_{L^2}, \quad \mu = \min \left\{ \frac{2}{4-p}, s(p-1) \right\}.$$

Corollaries

Corollary (Convergence rate)

Let q_α^δ be the solution of the Tikhonov functional for optimal α , then

$$\|q^\dagger - q_\alpha^\delta\|_{B_{p,p}^0} \lesssim (1+C_s) \left(E^3 \delta^{\frac{1}{2}} + (1+C_\infty^2) \left(E + \ln^2(3 + \delta^{-2}) \right)^{-\mu} \right)^{1/2}$$

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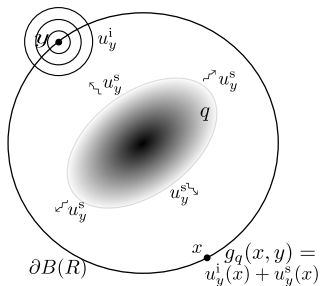
Corollary (Stability)

Let q_1, q_2 fulfill the conditions on q^\dagger , then

$$\|q_1 - q_2\|_{B_{p,p}^0} \lesssim (1+C_s) \left(E^3 \delta^{\frac{1}{2}} + (1+C_\infty^2) \left(E + \ln^2(3 + \delta^{-2}) \right)^{-\mu} \right)^{1/2}$$

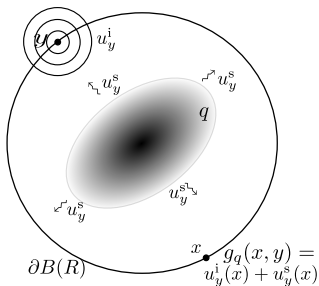
Summary

Method to recover q from g



Summary

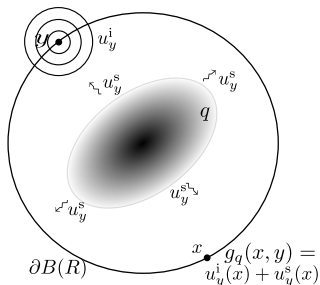
Method to recover q from g



- ✓ Regularization strategy
- ✓ no smoothness enforcement
- ✓ sparsity enforcing

Summary

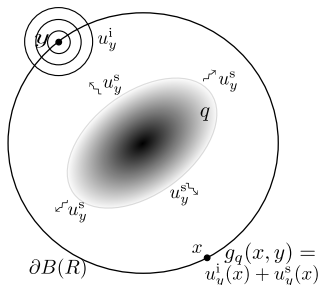
Method to recover q from g



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- ✓ Proved rates of convergence
 - ✓ Hölder-logarithmic w.r.t. energy E
 - ✗ Unbounded exponent

Summary

Method to recover q from g

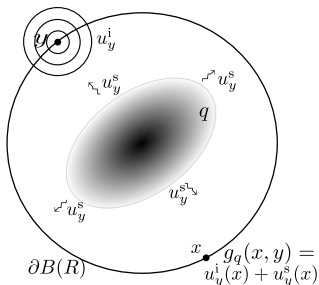


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Prize: Add $\iota_{\{\|\cdot\|_{L^\infty} \leq c_\infty\}}(q)$

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Thank you for your attention!